To, Crosseas Capital Services Pvt. Ltd. 1303, 13th Floor, Lodha Supremus, Dr E Moses Road, Worli Naka, Mumbai – 400 018 Member – BSE / NSE / MSEI

Kindly note the following details for my / our

# **SELF-CERTIFICATION FOR ENTITIES FOR FATCA / CRS**

BO ID:

Part I				
A. Is the account holder a Government body/International Organization/listed	□ Yes	□ No		
company on recognized stock exchange?				
If "No", then proceed to point B.				
If "yes" please specify name of stock exchange, if you are listed company and				
proceed to sign the declaration.				
B. Is the account holder a (Entity/Financial Institution) tax resident of any	□ Yes	🗆 No		
country other than India?				
If "yes", then please fill of FATCA/ CRS Self certification Form as per PART II.				
If "No", proceed to point C				
C. Is the account holder an Indian Financial Institution?	□ Yes	🗆 No		
If "yes", please provide your GIIN, if any.				
If "No", proceed to point D				
D. Are the Substantial owners or controlling persons in the entity or chain of	□ Yes	□ No		
ownership resident for tax purpose in any country outside India or not an Indian				
citizen?				
If "yes", (then please fill FATCA/ CRS self-certification form as per PART II)				
If "No", proceed to sign the declaration				

Customer Declaration:

Under penalty of perjury, I/we certify that:

1. The applicant is:

(i) An applicant taxable as a US person under the laws of the United States of America ("U.S.") or any state or political subdivision thereof or therein, including the District to Columbia or any other states of the U.S.,

(ii) An estate the income of which is subject to U.S. federal income tax regardless of the source thereof. (This clause is applicable only if the account holder is identified as a US person)

2. The applicant is an applicant taxable as a tax resident under the laws of country outside India.

(ii) I/We understand that PCIPL is relying on this information for the purpose of determining the status of the applicant named above in compliance with FATCA/CRS. PCIPL is not able to offer any tax advice on FATCA/CRS or its impact on me/us. I/we shall seek advice from professional tax advisor for any tax questions.

(iii) I/We agree to submit a new form within 30 days if any information or certification on this form becomes incorrect.

(iv) I/We agree that as may be required by domestic regulators/tax authorities PCIPL may also be required to report, reportable details to CBDT or close or suspend my account.

(v) I/We certify that I/we provide the information on this form and to the best of my/our knowledge and belief the certification is true, correct, and complete including the taxpayer identification number of the applicant.

Signature (as per MOP)	
Name of Account Holder	
Date	

Dont I

### Part II

# Self-Certification Form (Entity) for Foreign Account Tax Compliance Act ("FATCA") and Common Reporting Standards (CRS)

Section 1: Entity information

## Section 2: Classification of Non-Financial entities

I/We (on behalf of the entity) certify that the entity is:

a) An entity incorporated and taxable in US (Specified US person)	□ Yes □ No			
If "Yes", please provide your U.S. Taxpayer Identification Number (TIN)				
b) An entity incorporated and taxable outside of India (other than US)	□ Yes □ No			
If "Yes", please provide your TIN or its functional equivalent				
Provide your TIN issuing country				
c) Please provide the following additional details if you are not a Specified US Person				
FATCA / CRS classification for Non-financial entities (NFFE)				
Active NFFE				
Passive NFFE without any controlling Person				
Passive NFFE with Controlling Person(s)				
Direct Reporting NFFE (Choose this if any entity has registered itself for direct reporting for FATCA and thus PCIPL is not required to do the reporting). Please provide GIIN number				

#### Section 3: Classification of financial institutions (including Banks)

I/We (on behalf of the entity) certify that the entity is				
a. An entity is a U.S. financial institution	□ Yes	□ No		
If "Yes", (i) Please provide your Taxpayer Identification Number (TIN)				

Please provide GIIN, if any				
If "No", please tick one of the following boxes below:				
FATCA classification	Please provide the Global Intermediary			
	Identification number (GIIN) or other			
	information where			
Reporting Foreign Financial Institution in a	п			
Model 1 applicable Inter-Governmental				
Agreement ("IGA") Jurisdiction				
Reporting Foreign Financial Institution in a				
Model 2 IGA Jurisdiction				
Participating FFI in a Non-IGA Jurisdiction				
Non-reporting FI				
Non-Participating FI				
Owner-Documented FI with specified US owners				

Section 4: Controlling person declaration If you are classified as "Passive NFFE with Controlling Person(s)" or "Owner documented FFI" or "Specified US person", please provide the following details:

Name of controlling person	Correspondenc e Address	Country of residence for tax purpose	TIN	TIN issuing Country	Controlling person type

Details	Controlling	Controlling	Controlling	Controlling	Controlling
	person 1	person 2	person 3	person 4	person 5
Identification					
Type (Refer					
Appendix 2)					
Identification					
Number					
Occupation					
Type (Refer					
Appendix 2)					
Occupation					
Birth Date					
Nationality					
Country of					
Birth					

#### Section 5: Declaration

(i) Under penalty of perjury, I/we certify that:

1. The number shown on this form is the correct taxpayer identification number of the applicant, and

2. The applicant is (i) an applicant taxable as a US person under the laws of the United States of America ("U.S.") or any state or political subdivision thereof or therein, including the District of Columbia or any other states of the U.S., (ii) an estate the income of which is subject to U.S. federal income tax regardless of the source thereof, or

3. The applicant is an applicant taxable as a tax resident under the laws of country outside India.

(ii) I/We understand that PCIPL is relying on this information for the purpose of determining the status of the applicant named above in compliance with CRS/FATCA. PCIPL is not able to offer any tax advice on CRS or FATCA or its impact on me/us. I/we shall seek advice from professional tax advisor for any tax questions.

(iii) I/We agree to submit a new form within 30 days if any information or certification on this form gets changed.

(iv) I/ We agree as may be required by /Regulatory authorities, PCIPL shall be required to comply to report, reportable details to CBDT or close or suspend my account.

(v) I/We certify that I/we provide the information on this form and to the best of my/our knowledge and belief the certification is true, correct and complete including the tax payer identification number of the applicant.

I/We hereby confirm that details provided are accurate, correct and complete:

Signature (as per MOP)	
Names and designation of Signatories	
Name of Account Holder	
Date	
PAN Number of Account Holder	

(Company Seal, if applicable, to be affixed)